

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5151588
<b>Application Number:</b>	10628208
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5479
<b>Title of Invention:</b>	Method and system for providing mandatorily convertible securities with an associated call spread against a trust
<b>First Named Inventor/Applicant Name:</b>	William Ortner
<b>Customer Number:</b>	75127
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<b>Filer Authorized By:</b>	Eric L. Sophir
<b>Attorney Docket Number:</b>	SSB0009-US
<b>Receipt Date:</b>	14-APR-2009
<b>Filing Date:</b>	29-JUL-2003
<b>Time Stamp:</b>	15:36:34
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

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Payment Type	Credit Card
Payment was successfully received in RAM	\$1110
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	SSB0009_Response_1153106_1.pdf	117113 0c38ac266bca911f7c5865b75e70ba152fa7d2	no	6
Warnings:					
Information:					
2	Oath or Declaration filed	SSB0009_Declaration.pdf	139732 271a2d1689ccee806d65215c66b781ce981075	no	1
Warnings:					
Information:					
3	Extension of Time	SSB0009_Extension.pdf	119789 4ee08ce71c6a8b6a121c1d530ed181a2e9252	no	1
Warnings:					
Information:					
4	Fee Worksheet (PTO-06)	fee-info.pdf	30258 d8e05a2127b15415246c19ca613e2b2b7f95af9	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			406892		
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<p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b></p> <p>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					
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